



STRATEGIC PLANNING GROUP, INC

Business Continuity Plan (BCP) Client Disclosure Statement

RESUMPTION OF BUSINESS OPERATIONS FOLLOWING BUSINESS DISRUPTION

Strategic Planning Group, Inc. ("SPG") has developed a Business Continuity Plan detailing how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Action Plan: SPG plans to quickly recover and resume business operations after a significant business disruption (SBD) and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our company's Business Continuity Plan (BCP) is designed to permit us to resume operations as quickly as possible, given the scope and severity of the SBD.

The BCP addresses data backup and recovery; all mission-critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Significant Business Disruptions: SPG's plan takes into account two kinds of significant business disruptions, internal and external. 1. Internal SBDs affect only the firm's ability to communicate and do business, such as a fire or loss electrical power in the office or building. 2. External SBDs, such as a terrorist attack, a natural disaster, or another event that causes a wide-scale, regional disruption in essential services, prevent the operation of the securities markets or a number of firms.

Internal SBDs: In the event of a disruption in SPG's business operations due an internal SBD, the firm will attempt to continue to conduct business as usual by utilizing alternative communication methods (if available), such as the Internet, cell phones, etc., or by moving its operations to an alternative location.

If personnel or operations must be moved to an alternate location, the firm anticipates that it will resume regular operations as soon as personnel can access the alternate site.

External SBDs: In the event of a disruption in SPG's business operations due to an external SBD, the firm will attempt to continue to conduct business as usual by moving its operations to an alternative location outside the affected area, if possible, or by providing customers with alternative communication arrangements, as indicated below, to conduct business or to access their funds and securities.

SPG will attempt to resume business and keep its customers informed regarding relevant events to the best of its ability based on the circumstances.

In all cases, SPG's will resume normal business operations as soon as it is able to do so, based on the type and the extent of the disrupting event.

If the significant business disruption is so severe that it prevents us from remaining in business, we will ensure our customers' prompt access to their funds and securities.

Communications: In the event that you are unable to reach SPG at our main number, please proceed as follows:

- Contact the firm at the following alternate telephone number: 888-752-6774
- Contact the firm at the following alternate email address: info@spg1.net
- Access the firm's website: www.spg1.net
- Contact the product sponsor/custodian/third party advisor directly at the number listed on your most recent statement

Contact information: Any questions regarding Strategic Planning Group, Inc's Business Continuity Plan should be addressed to: Jarrod Sherman, Owner, Chief Compliance Officer, 57 River Street, Suite #306 Wellesley Hills, MA 02481 or directly via telephone at 781-444-9010.